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PTO/SB/21 (10-96)

Approved for use through 10/31/99. OMB 0651-0031

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | |
|--|---|------------------------|----------------------|
|  TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/656,694 |
| | | Filing Date | 09/07/00 |
| | | First Named Inventor | A. PADMANABHAN ET AL |
| | | Group Art Unit | 2832 |
| | | Examiner Name | UNKNOWN |
| Total Number of Pages in This Submission | 9 | Attorney Docket Number | H16-26318 US |

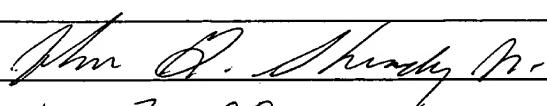
ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Checklist and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> After Allowance Communication to group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
|--|---|--|

Declaration and Power of Attorney

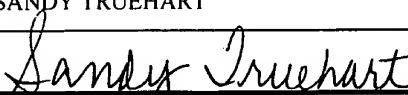
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------------|--|
| Firm or Individual name | JOHN G. SHUDY, JR. REG. NO. 31,214 |
| Signature |  |
| Date | 12-7-00 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

| | | | |
|----------------------|---|------|---------|
| Type or printed name | SANDY TRUEHART | | |
| Signature |  | Date | 12-8-00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL
For FY 2000Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement.
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT \$170.00

COMPLETE IF KNOWN

DEC 11 2000

| | |
|----------------------|----------------------|
| Application No. | 09/656,694 |
| Filing Date | 09/07/00 |
| First Named Inventor | A. PADMANABHAN ET AL |
| Group Art Unit | 2832 |
| Examiner Name | UNKNOWN |
| Attorney Docket No. | H16-26318 US |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name

Charge any Additional Fee Required
Under 37 CFR §§1.16 & 1.172. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee \$ | Fee Code | Fee \$ | Fee Description | Fee Paid |
|--------------|--------------|----------|--------|----------|--------|------------------------|----------|
| 101 | 710 | 201 | 355 | | | Utility Filing Fee | |
| 106 | 320 | 206 | 160 | | | Design Filing Fee | |
| 107 | 490 | 207 | 245 | | | Plant Filing Fee | |
| 108 | 710 | 208 | 355 | | | Reissue Filing Fee | |
| 114 | 150 | 214 | 75 | | | Provisional Filing Fee | |
| SUBTOTAL (1) | | | | | | | \$ |

2. EXTRA CLAIM FEES

| | | | | | | |
|---------------------------|-------|---|---|---|---|---|
| Total Claims | -20 = | 0 | x | 0 | = | 0 |
| Independent Claims | - 3 = | 0 | x | 0 | = | 0 |
| Multiple Dependent Claims | | | | | | |

| Large Entity | Small Entity | Fee Code | Fee \$ | Fee Code | Fee \$ | Fee Description | Fee Paid |
|--------------|--------------|----------|--------|----------|--------|---|----------|
| 103 | 18 | 203 | 9 | | | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | | | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | | | Multiple dependent claim | |
| 109 | 80 | 209 | 40 | | | Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | | | Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | | | \$ |

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee \$ | Fee Description | Fee Paid | |
|------------------------------------|--------------|------------------------------|--------|--|----------|--------|
| 105 | 130 | 205 | 65 | Surcharge - Late Filing Fee | | |
| 127 | 50 | 227 | 25 | Surcharge - Late Provisional Filing Fee or cover sheet | | |
| 139 | 130 | 139 | 130 | Non-English specification | | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner Action | | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner Action | | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| 118 | 1390 | 218 | 695 | Extension for reply within fourth month | | |
| 128 | 1890 | 228 | 945 | Extension for reply within fifth month | | |
| 119 | 310 | 219 | 155 | Notice of Appeal | | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | |
| 121 | 270 | 221 | 135 | Request for Oral Hearing | | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use hearing | | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 141 | 1240 | 241 | 620 | Petition to revive - unintentional | | |
| 142 | 1240 | 242 | 620 | Utility issue fee (or reissue) | | |
| 143 | 440 | 243 | 220 | Design issue fee | | |
| 144 | 600 | 244 | 300 | Plant issue fee | | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| 123 | 50 | 123 | 50 | Petitions related to Provisional applications | | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Statement | 40.00 | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | | |
| Other fee (specify) | | Notice to File Missing Parts | | | | 130.00 |
| Other fee (specify) | | | | | | |
| * Reduced by Basic Filing Fee Paid | | SUBTOTAL (3) | | \$ 170.00 | | |

COMPLETE IF APPLICABLE

| | | | |
|-----------------------|---------------------------|---------------------|-------------------------|
| SUBMITTED BY | JOHN G. SHUDY, JR. | Registration Number | 31,214 |
| Typed or Printed Name | | | |
| Signature | <i>John G. Shudy, Jr.</i> | Date | 12/7/00 |
| | | | Deposit Account User ID |

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

③ *SECTOR\$*
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/656,694 | 09/07/2000 | Aravind Padmanabhan | 9028/322(H16-26318) |

000128
 HONEYWELL INTERNATIONAL INC.
 101 COLUMBIA ROAD
 P O BOX 2245
 MORRISTOWN, NJ 07962-2245



FORMALITIES LETTER



OC000000005508118

Date Mailed: 10/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 BHABTEW 00000008 011125 09656694

01 FC:105 130.00 CH